

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **South West Pinnacle Exploration Limited**
 2. Quarter Ending - **31-Dec-2019**

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Director	DIN	P A N	C a t e g o r y (C h a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	Ini t i a l D a t e o f A p p o i n t m e n t	D a t e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	T e n u r e	D a t e o f B i r t h	W h e t h e r s p e c i a l r e s o l u t i o n p a s s e d ?	D a t e o f p a s s i n g s p e c i a l r e s o l u t i o n	No. of D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No of I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No of m e m b e r s h i p i n A u d i t / S t a k e h o l d e r C o m m i t t e e (s i n c l u d i n g t h i s l i s t e d e n t i t y	No of p o s t o f C h a i r p e r s o n A u d i t / S t a k e h o l d e r C o m m i t t e e (i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	R e m a r k s
M r.	VIKAS JAIN	0004 9217	A C U P J 1 2 3 5 E	C & ED	M D	27- Nov- 2006	20- Nov- 2017		36	24- Sep- 1974	N A		1	0	2	0	AC, SC	
M r.	PIYUSH JAIN	0004 9319	A D I P J 8 4 0 0 G	ED	M D	20- Nov- 2009	20- Nov- 2017		36	17- Jan- 1982	N A		1	0	1	0	SC	



M r.	ROGER JAMES LORD	0095 2295	A C G P L 5 7 0 8 E	NE D		27- Nov- 2006			12- Aug- 1947	N A		1	0	0	0	NR C
M s.	SUDHA MAHESH WARI	0678 4093	A K C P B 2 2 2 6 R	ID		30- Oct- 2017	30- Oct- 2017	36	20- Aug- 1981	N A		1	1	1	0	AC, NR C
M r.	JITENDR A KUMAR MISHRA	0798 3426	A G B P M 0 5 0 9 M	ID		07- Nov- 2017	21- Nov- 2017	36	22- Aug- 1970	N A		2	2	1	2	AC, SC, NR C
M r.	RAJEND RA PRASAD RITOLIA	0011 9488	A C E P R 0 0 9 3 C	ID		31- Mar- 2019	14- Sep- 2019	24	08- Jul- 1948	N A		1	2	2	0	

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes



ii. **Composition of Committees**

a. **Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
2	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	
3	VIKAS JAIN	C & ED	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. **Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
2	PIYUSH JAIN	ED	Member	20-Nov-2017	
3	VIKAS JAIN	C & ED	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. **Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	No

d. **Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ROGER JAMES LORD	NED	Member	20-Nov-2017	
2	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
3	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes



iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
14-Aug-2019	29-Nov-2019	Yes	2	0
14-Sep-2019	11-Dec-2019	Yes	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	75

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	14-Aug-2019	11-Dec-2019	Yes	3	2
Nomination & Remuneration Committee	14-Sep-2019	11-Dec-2019	Yes	2	2
Stakeholders Relationship Committee	14-Sep-2019	11-Dec-2019	Yes	3	1
Audit Committee	14-Sep-2019		Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	87

v. Related Party Transactions

Subject	Compliance status(Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party	
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transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & Remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name :
Designation :

VAISHALI
Company Secretary & Compliance Officer

